



2021 STRATEGIC PLANNING PROCESS MANUAL

**OZARKS TECHNICAL
COMMUNITY COLLEGE**

STRATEGIC PLANNING

PROCESS OVERVIEW

As a dynamic and growing institution, Ozarks Technical Community College (OTC) understands the importance of strategic planning to reflect the continually changing needs of the communities it serves. The college prides itself on a plan that is based on broad input from students, faculty, staff and community members. It is a plan driven by strategic goals and initiatives that measure success institution-wide. With these guiding forces in place, OTC strives to stay focused on its mission to be the community's college.

OTC's planning process is rooted in annual assessment and evaluation. The process correlates goals and effectiveness with budget needs and improvements at the unit level, as well as system-wide. The Chancellor oversees the strategic planning process through recommendations provided by the Strategic Planning Council, additional college governance councils and individual planning units. The Chancellor and Board of Trustees further guide the planning process to the development of a Strategic Plan for the college. The plan is comprised of the institutional mission, vision, core values and strategic initiatives and goals. All department-level annual plans are developed in alignment with the college's overall Strategic Plan.

OTC operates on a 12-month annual planning cycle. Annual review of the college's mission, vision, core values, and strategic initiatives and goals is conducted to begin the planning process. From this review, the top institutional priorities are identified for the coming year. To inform the determination of these priorities and college mission and vision, a Comprehensive Scan (review of the current external environment the college is operating in) and a Strengths, Weaknesses, Opportunities and Threats (SWOT) analysis of the institution is conducted.

These reports use benchmark data and expected outcomes to guide decision-making. Annual review and integration of assessment of student learning outcomes facilitates the connection between strategic planning and academic assessment at OTC. College capacity and the Facilities Master Plan also provide direction for future strategic growth of the college. This alignment with the Strategic Plan to identify important and emerging issues allows for future preparation of the college's infrastructure.

The Strategic Plan is also advanced at the department level. All educational, administrative and service units participate in annual planning for their department. OTC's Annual Planning Report process facilitates assessing long- and short-term goals in a single annual plan. Departments are required to develop their plan to align with priorities identified by the college and also their respective college division for the coming year. Departmental goals and initiatives are linked to measurable benchmarks, required resources and targeted outcomes. Identifying these factors allows for annual unit-level assessment. The Annual Planning Report process facilitates these activities and closes the gap between departmental and institutional planning at OTC, furthering the advancement of the college's Strategic Plan.

Additional planning activities that occur include biannual summaries of the role strategic planning plays in governance councils system-wide, as well as Emerging Issues Reports that address timely and relevant issues for the college community. In addition, assessment of the strategic planning process occurs each year in order to consistently improve planning at OTC. Each piece of the strategic planning process is a vital component for continual improvement of institutional quality and effectiveness.

ORGANIZATION FOR PLANNING

1. The Board of Trustees

- a. Approves Strategic Plan
- b. Approves annual budget
- c. Monitors advancement of Strategic Plan and financial health of Ozarks Technical Community College

2. Chancellor

- a. Provides leadership and guidance for the planning process
- b. Approves resource allocation and oversees budget implementation
- c. Makes final recommendations for changes to all components of the Strategic Plan to the Board of Trustees
- d. Presents annual budget and Strategic Plan final document to the Board of Trustees

3. Chancellor's Cabinet

- a. Monitors financial health and continual improvement of institutional quality
- b. Acts upon recommendations from Governance Councils
- c. Oversees implementation of Strategic Plan and Facilities Master Plan
- d. Approves statements of purpose for instructional, administrative and educational support service units
- e. Recommends resource allocations based on unit-level Annual Planning Reports
- f. Monitors student learning outcomes
- g. Reviews recommendations for changes to Strategic Plan and makes final plan recommendations to Chancellor

4. Strategic Planning Council

- a. Reviews Strategic Plan for continual alignment with college mission and institutional effectiveness
- b. Recommends changes to Strategic Plan to Chancellor's Cabinet
- c. Provides assessment of planning process
- d. Reviews and approves strategic initiatives and goals
- e. Reviews and approves Annual Planning and Facilities Master Plan
- f. Reviews and approves Strategic Planning Progress Report
- g. Makes final recommendations to Chancellor's Cabinet on Strategic Plan and Facilities Master Plan final documents

5. Governance Councils

- a. Reviews and recommends changes in policies, procedures, curriculum or resources needed for continuous improvement to Chancellor's Cabinet
- b. Provides broad input on strategic planning efforts and all other college planning activities
- c. Works to foster collaboration and communication campus-wide, making continual improvements

6. Planning Units: Educational, Administrative and Service

- a. Creates departmental annual plans to align with college mission
- b. Develops goals and initiatives to set priorities for unit effectiveness and improvement
- c. Identifies expected outcomes of goals and initiatives, based on defined metrics for success
- d. Reports budget needs and priorities based on departmental annual planning
- e. Creates plans of improvement based on assessment results

7. Office of Strategic Planning and Grant Development

- a. Coordinates all activities related to strategic planning
- b. Creates all supplemental and reference materials related to strategic planning
- c. Assists in the development of annual planning reports for all campus units
- d. Presents all strategic planning reports and related materials to the Strategic Planning Council

8. Office of Academic Services

- a. Assists in the development of assessment of student learning reports for all teaching focused academic units
- b. Facilitates and provides support for all assessment of student learning activities

GOVERNANCE COUNCIL POLICY & PROCEDURES

A. Purpose

To establish the functions and duties of college governance councils.

B. Policy

Standing governance councils shall be maintained to provide the opportunity for regular oversight of functional areas of the college. Additional councils may also be convened as deemed appropriate by the Chancellor to supplement existing councils or address specific issues.

C. Procedures

The following governance councils will operate as standing committees:

- Academic Council, chaired by the Provost/Vice Chancellor for Academic Affairs, to provide oversight for college policies and operations related to Academic Affairs, student learning and delivery of instruction.
- Administrative Services Council, chaired by the Vice Chancellor for Administrative Services, to provide oversight for college policies and operations related to Administrative Services functions.
- Finance Council, chaired by the Chief Financial Officer, to provide oversight for college policies and operations related to Finance functions and college funds.
- Student Affairs Council, chaired by the Vice Chancellor for Student Affairs, to provide oversight for college policies and operations related to Student Affairs functions.
- Information Technology Council, chaired by the Chief Technology Officer, to provide oversight for college policies and operations related to Information Technology functions.
- Strategic Planning Council, chaired by the Chief Strategy Officer, to provide oversight for college policies and operations related to the development and implementation of the college's strategic plan and mission statement.

Governance councils will maintain voting membership that is broadly representative of the college. Voting members will be recommended for two-year terms by the respective governance council chair and approved by the Chancellor's Cabinet.

Governance councils may also include resource positions that are non-voting and not subject to time-limited terms. These positions will be recommended by the respective governance council chair and approved by the Chancellor's Cabinet.

Governance councils will maintain regular meetings with additional meetings as needed. A minimum of three to four meetings will be held annually for each governance council.

Meetings will be used to allow for review and input on operations and planned actions related to the governance council's functional area.

Minutes from each governance council meeting will be approved by the council and presented to the Chancellor's Cabinet for review and approval. Approved minutes will be distributed to all OTC employees. Governance council members are expected to attend council meetings and report what is shared with their respective departments to fulfill their representative role of council service.

As deemed appropriate by the Chancellor, temporary committees may be established to provide guidance and advice for specific aspects of college operations as a supplement to the governance council structure or to address functions not covered by an existing council.

D. Definitions

Governance Council is a standing committee with representative membership that provides oversight for a functional area of college operations.

E. Authority

This policy is maintained under the authority of the Office of the Chancellor.

F. Related Policies

N/A

G. Implementation

Policy approved and adopted by the Board of Trustees on 03/12/18. Purpose, procedures, responsibilities and definitions approved and adopted by the Chancellor's Cabinet on 03/12/18. Revised on 08/10/2020. Set for review in fiscal year 2023–2024.

PLANNING CALENDAR

AUGUST

- The yearly planning process begins. The Office of Strategic Planning and Grant Development creates Strategic Planning Progress Report for review of the past year's planning activities and accomplishments.
- Strategic Planning Council reviews and updates the college's Mission, Vision and Core Values. Any recommendations for change are taken to the Chancellor's Cabinet for approval, followed by the Board of Trustees.
- Strategic Planning Council reviews and updates the college's Strategic Initiatives and Goals. Any recommendations for change are taken to the Chancellor's Cabinet for approval, followed by the Board of Trustees.
- Strategic Planning Council conducts institution-wide Strengths, Weaknesses, Opportunities and Threats (SWOT) analysis. The Office of Strategic Planning and Grant Development provides Comprehensive Scan of the external environment to guide the SWOT analysis.
- The Office of Strategic Planning and Grant Development provides an Emerging Issues Report to inform Strategic Planning Council on current and emerging issues.
- Annual Planning divisional and departmental meetings held to closeout prior year planning information and begin brainstorming for coming year's divisional and departmental planning goals.

Strategic Plan Implementation:

- Steering Committee and workgroup for each strategic initiative meets quarterly
- Community and college engagement is ongoing
- Quarterly progress report issued

Strategic Planning Council Items for Approval by Chancellor's Cabinet and/or Board of Trustees:

- Any updates or changes made to Mission, Vision and Core Values or Strategic Initiatives and Goals

DECEMBER

- Strategic Planning Council reviews college capacity information and Facilities Master Plan updates, and approves for alignment with the Strategic Plan.
- Strategic Planning Council reviews the Student Success: Institutional Learning Outcomes Report. The report is used to guide decision making by the Strategic Planning Council on items related to student learning and assessment.
- The Office of Strategic Planning and Grant Development provides the Emerging Issues Report on current and emerging issues to the Strategic Planning Council to aid their efforts.
- Strategic Planning Council identifies the top institutional priorities for the coming year to guide the institution. Informational sessions will be held to disseminate the annual planning priorities of the institution.
- Results from the prior year's Departmental Annual Plans are reviewed and planning for the coming year begins by developing goals and objectives for the coming year's plans.

Strategic Plan Implementation:

- Steering Committee and workgroup for each strategic initiative meets quarterly
- Community and college engagement is ongoing
- Quarterly progress report issued

Strategic Planning Council items for approval by Chancellor's Cabinet and/or Board of Trustees:

- Student Success: Institutional Learning Outcomes

FEBRUARY

- Collaborative review of Higher Learning Commission (HLC) criteria and other mandates, will occur to inform the planning process.
- Performance Funding Update is provided to support the college's strategic planning efforts.
- Strategic Planning Council reviews the Strategic Initiatives: Biannual Summary, which reports the progress of each Strategic Initiative in order to evaluate and establish benchmarks.
- Budget pre-planning begins for the college. Strategic Planning Council will begin to review budget requests linked to departmental annual plans.
- Departmental planning data collection for Annual Planning Reports begins in SPOL. Departmental annual plans are due by April 15.
- The Office of Strategic Planning and Grant Development provides the Emerging Issues Report on current and emerging issues to the Strategic Planning Council.

Strategic Plan Implementation:

- Steering Committee and workgroup for each strategic initiative meets quarterly
- Community and college engagement is ongoing
- Quarterly progress report issued

Strategic Planning Council Items for Approval by Chancellor's Cabinet and/or Board of Trustees:

- Strategic Initiatives: Biannual Summary

MAY

- The Strategic Planning Council assesses, reviews and updates the strategic and annual planning process.
- Strategic Planning Council reviews the Employee Retention and Success Report. The report is used to guide decision making related to employee satisfaction and success.
- Strategic Planning Council reviews completed Departmental and Annual Planning Reports, evaluating for quality and alignment with the college's Strategic Plan.
- The Office of Strategic Planning and Grant Development provides the Emerging Issues Report on current and emerging issues to the Strategic Planning Council.
- The Strategic Planning Council will approve final changes to the Strategic Plan and make a recommendation to the Chancellor's Cabinet for approval by the Board of Trustees and adoption of the plan.

Strategic Plan Implementation:

- Steering Committee and workgroup for each strategic initiative meets quarterly
- Community and college engagement is ongoing
- Quarterly progress report issued

Strategic Planning Council Items for Approval by Chancellor's Cabinet and/or Board of Trustees:

- Strategic Planning Process Manual
- Final draft of the Strategic Plan approved by the Strategic Planning Council

OTC Strategic Planning Process

1st Quarter Strategic Planning Tasks:

- Divisional and Department Annual Planning Meetings
- Governance Councils: Bi-Annual Summary
- Planning Priorities Informational Sessions

- Student Success: Institutional Learning Outcomes
- Review College Capacity
- Review Prior Year Annual Planning Results
- Identify Top Annual Planning Priorities
- Emerging Issues Report

2nd Quarter Strategic Planning Tasks:

- Budget Pre-Planning
- Review HLC Criteria
- Annual Planning Assessment Data Collection in SPOL

- Mission, Vision and Core Values Review
- Institution-wide SWOT Analysis
- Review Prior Year Strategic Initiative Outcomes
- Review Strategic Initiatives and Goals
- Emerging Issues Report

- HLC Criteria Collaborative Review
- Progress Update on Strategic Initiatives
- Performance Funding Update
- Emerging Issues Report

4th Quarter Strategic Planning Tasks:

- Comprehensive Scan
- Strategic Planning Process Manual
- State of Strategic Planning: Annual Report
- Governance Councils Training
- Assessment of External Stakeholder Needs

- Employee Retention and Success Report
- Assessment of Strategic Planning Process
- Review Departmental Annual Plans
- Finalization of Strategic Plan
- Emerging Issues Report

3rd Quarter Strategic Planning Tasks:

- Governance Councils: Bi-Annual Summary
- Strategic Planning Update at Development Day
- Submit and Review Annual Plans in SPOL

May

August

Fall Semester

Spring Semester

December

February

CAMPUS COUNCILS

The Chancellor's Cabinet and Governance Councils play a vital role in planning for the future of Ozarks Technical Community College. The Chancellor's Cabinet serves as the administrative leadership for the institution and advises the Chancellor regarding all aspects of the institution. The Chancellor's Cabinet acts upon recommendations from the nine governance councils throughout the OTC system, which each represent individual areas of decision making at the college.

Each Governance Council is composed of administration, staff and faculty positions system-wide. Standing, rotating and resource members from all segments of the college make up Council representation. Standing and resource positions serve as permanent members on Governance Councils, where rotating members are appointed for a two-year (staggered) term by the executive leadership of that Council. Ultimately, all Governance Council membership positions are approved by the Chancellor. Composition of each Governance Council follows, illustrating a system of shared governance which facilitates broad-based decision making.

Chancellor's Cabinet

- Chancellor
- Provost | Vice Chancellor for Academic Affairs
- Vice Chancellor for Administrative Services
- Vice Chancellor for Student Affairs
- President — OTC Richwood Valley Campus
- President — OTC Table Rock Campus
- President — OTC Education Centers
- Chief Financial Officer
- Chief Technology Officer
- Chief of Staff/Executive Director of the OTC Foundation
- Chief Media Relations Officer
- Chief Research and Governmental Affairs Officer
- Chief Strategy Officer
- Chief Human Resources Officer
- Associate Vice Chancellor for Student Affairs

Chancellor's Advisory Council

- Chancellor
- Chief of Staff/Executive Director of the OTC Foundation
- Secretary to the Chancellor
- Health Sciences Faculty Representative
- Technical Education Faculty Representative
- General Education Faculty Representative
- Center for Workforce Development Representative
- OTC Richwood Valley Campus Representative
- OTC Table Rock Campus Representative
- Student Services Representative
- Finance Representative
- Advancement Representative
- Administrative Services Representative
- Information Technology Representative
- Academic Affairs Representative

Strategic Planning Council

Standing Members

- Chancellor
- Chancellor's Cabinet
- Executive Dean — Career, Technical and Community Development
- Dean of Students
- Dean of Academic Services
- Dean of General Education
- Dean of Health Sciences
- Dean of Technical Education
- Dean of Online and Faculty Development
- Faculty Senate President
- Staff Association President
- Strategic Initiative #1 Leader
- Strategic Initiative #2 Leader
- Strategic Initiative #3 Leader

Faculty *(appointed for a two-year term)*

- General Education Faculty Representative
- Technical Education Faculty Representative
- Health Sciences Faculty Representative

Administration/Staff *(appointed for a two-year term)*

- Staff Representatives (2)
- Campus/Center Representative

Resource Positions

- Assistant Director of CRM and Planning
- Assistant Director of Grants and Strategic Planning

Administrative Services Council

Standing Members

- Vice Chancellor for Administrative Services
- Assistant Vice Chancellor for Administrative Services

Faculty *(appointed for a two-year term)*

- Health Sciences Faculty Representative
- General Education Faculty Representatives (2)
- Technical Education Faculty Representative

Administration/Staff *(appointed for a two-year term)*

- Information Technology Representatives (2)
- Center for Workforce Development Representative
- Student Affairs Representative
- Finance Representative
- Online and Faculty Development Representative
- Equity and Compliance Representative
- Academic Administration Representative
- Academic Affairs Representative
- Advancement and OTC Foundation Representative
- Human Resources Representative
- Diversity, Equity and Inclusion Representative

Resource Positions

- College Director — Facilities and Grounds
- College Director — Procurement
- College Director — Safety and Security
- College Director — OTC Bookstore, Retail, Auxiliary Services and Print Shop
- College Director — Project Management
- Internal Auditor
- Print Shop Production Supervisor
- Purchasing Specialist
- Administrative Assistant — Vice Chancellor for Administrative Services
- Environmental Safety Coordinator
- Chief Technology Officer
- Chief Human Resources Officer

Academic Council

Standing Members

- Provost | Vice Chancellor for Academic Affairs
- Dean of Academic Services

Faculty *(appointed for a two-year term)*

- Health Sciences Faculty Representatives (2)
- General Education Faculty Representatives (2)
- Technical Education Faculty Representatives (2)
- Faculty Senate Representative
- Center for Workforce Development Faculty Representative

Administration/Staff *(appointed for a two-year term)*

- Administration Representatives (2)
- Staff Representatives (2)

Resource Positions

- Assistant Vice Chancellor for Academic Affairs
- Executive Dean — Career, Technical & Community Development
- President — OTC Education Centers
- Dean of Health Sciences
- Dean of Technical Education
- Dean of General Education
- Dean of Online and Faculty Development
- Dean of Academic and Student Affairs — OTC Richwood Valley Campus
- President — OTC Table Rock Campus
- Chief Research and Governmental Affairs Officer
- Assistant Director of Administrative Computing
- College Director of Admissions | Registrar
- College Director of Financial Aid
- College Director of Student Financial Services
- Administrative Assistant — Academic Affairs

Finance Council

Standing Members

- Chief Financial Officer
- Associate Chief Financial Officer
- College Director of Finance
- College Director of Student Financial Services

Faculty *(appointed for a two-year term)*

- Health Sciences Faculty Representative
- General Education Faculty Representatives (2)
- Technical Education Faculty Representatives (2)

Administration/Staff *(appointed for a two-year term)*

- Administrative Services Representatives (2)
- Information Technology Representative
- Advancement Representative
- Center for Workforce Development Representative
- Student Services Representatives (3)
- Academic Administration Representative
- Online & Faculty Development Representative
- Human Resources Representative

Resource Positions

- Internal Auditor
- Assistant College Director for Finance — General Funds
- Administrative Assistant — Finance and Budget
- Bursar
- Student Financial Operations Administrator

Information Technology Council

Standing Members

- Chief Technology Officer
- Director of Instructional Support

Faculty — *(appointed for a two-year term)*

- Health Sciences Faculty Representative
- General Education Faculty Representative
- Technical Education Faculty Representative

Administration/Staff *(appointed for a two-year term)*

- Academic Administration Representatives (Two)
- Administrative Services Representative
- Campus/Center Representative
- Center for Workforce Development Representative
- Finance Office Representative
- Advancement Representative
- Student Services Representative

Resource Positions

- Director of Network Hardware — Telecommunications
- Director of Application Programming
- Network Software Representative
- Administrative Assistant — Chief Technology Officer

Student Affairs Council

Standing Members

- Vice Chancellor for Student Affairs
- Dean of Students
- Associate Vice Chancellor for Student Affairs

Faculty *(appointed for a two-year term)*

- General Education Faculty Representatives (2)
- Technical Education Faculty Representatives (2)
- Health Sciences Faculty Representatives (2)

Administration/Staff *(appointed for a two-year term)*

- Advancement Representative
- Campus/Center Representative
- Information Technology Representative
- Finance Representative
- Center for Workforce Development Representative
- Student Services Representative
- Administrative Services Representative
- Campus/Center Administration Representative

Resource Positions

- College Director of Admissions | Registrar
- College Director of Financial Aid
- College Director of Student Success

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